

LATL:CS:REG40:2024-25

Date: 19.04.2024

BSE Limited Listing & Compliance Department Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400001	National Stock Exchange of India Limited Listing & Compliance Department Exchange Plaza, C-1 Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400051
Security Code : 532796	Symbol: LUMAXTECH

Subject: Submission of Certificate in terms of Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024

Ref: Certificate issued by Practicing Company Secretary under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Ma'am,

Please find enclosed herewith the Certificate issued by Mr. Maneesh Gupta, Practicing Company Secretary, New Delhi, as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.

You are requested to take the same information in your records.

Thanking you,
Yours faithfully,

For Lumax Auto Technologies Limited



Pankaj Mahendru
Company Secretary & Compliance Officer
ICSI Membership No.- A28161

Encl: As stated above

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED MARCH 31, 2024

I have examined all the documents including but not limited to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Lumax Auto Technologies Limited** ("the Company") maintained by **Bigshare Services Private Limited**, Registrar and Transfer Agent ("RTA") of the Company pertaining to transfers, transmission, duplicate, name deletion, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies relating to equity shares of the Company for the period from April 01, 2023 to March 31, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that:

- A) During the period from April 01, 2023 to March 31, 2024, the Company has not received any request for issue of Share Certificate(s) relating to transfers, transmission, duplicate, name deletion, sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies; and
- B) The Company has not received any request for splitting of Share Certificate(s) during the period from April 01, 2023 to March 31, 2024.

Accordingly, the Company was not required to dispatch the Share Certificates.

Signature:

Name: Maneesh Gupta
CP No: 2945



Date: April 17, 2024

Place: New Delhi

UDIN: **F004982F000156067**

Peer review No.-2314/2022